

Application of entransy theory in the heat transfer optimization of flat-plate solar collectors

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The flat-plate solar collector is an important component in solar-thermal systems, and its heat transfer optimization is of great significance in terms of the efficiency of energy utilization. However, most existing flat-plate collectors adopt metallic absorber plates with uniform thickness, which often works against energy conservation. In this paper, to achieve the optimal heat transfer performance, we optimized the thickness distribution of the absorber with the constraint of fixed total material volume employing entransy theory. We first established the correspondence between the collector efficiency and the loss of entransy, and then proposed the constrained extreme-value problem and deduced the optimization criterion, namely a uniform temperature gradient, employing a variational method. Finally, on the basis of the optimization criterion, we carried out numerical simulations, with the results showing remarkable optimization effects. When irradiation, the ambient temperature and the wind speed are 800 W/m^2 , 300 K and 3 m/s , respectively, the collector efficiency is enhanced by 8.8% through optimization, which is equivalent to a copper saving of 30% . We also applied the thickness distribution optimized for wind speed of 3 m/s in heat transfer analysis with different wind speed conditions, and the collector efficiency was remarkably better than that for an absorber with uniform thickness.

flat-plate solar collectors, heat transfer performance, optimization, entransy theory

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As a common approach to utilize renewable energy, solar thermal utilization is an important way to conserve energy. The flat-plate solar collector is one of the most widely used key components of solar thermal utilization systems and has been a focus of research on renewable energy, with much research having analyzed and optimized its heat transfer. At present, methods of enhancing the heat transfer performance of flat-plate solar collectors fall into two categories [1–5]: one is raising the collector's effective absorption of irradiation and the other is minimizing the collector's heat loss to the environment. The first category includes optimizing the tilt angle of the collector and adopting a spectrum-selective transmission/absorption coating, while the second includes lowering the temperature of the absorber plate, adopting a transparent cover to induce a greenhouse effect, and adopt-

ing high-heat-resistance insulation.

To analyze theoretically and optimize the heat transfer performance of flat-plate collectors, several scholars [6–10] have applied exergy theory in the analysis of heat transfer for flat-plate collectors from the viewpoint of irreversibility in the heat transfer process. They studied the effects of different parameters on the exergy efficiency of collectors, and then selected better working parameters with higher exergy efficiency to achieve better heat transfer performance. However, most related research has only calculated the exergy efficiency of collectors under different working conditions with such given parameters as inlet/outlet temperatures and mass flow rates, and has not considered the optimal design of the collector's geometric structure using mathematical methods. Moreover, all existing flat-plate collectors have an absorber plate with uniform thickness. There has not yet been research on the optimal design of the

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absorber's thickness distribution to enhance the collector's performance.

To analyze and optimize heat transfer not involved in heat-work conversion, Guo et al. [11, 12] introduced a new physical quantity, entransy, to describe the heat transfer capacity of an object or a system, and proposed the concept of entransy dissipation to measure the loss of such capacity during the process. Moreover, Guo et al. proposed the entransy dissipation extremum principle to optimize the processes of heat conduction [11–15], heat convection [16,17], and thermal radiation [18].

The present paper applies entransy theory to the heat transfer optimization of flat-plate collectors. The thickness distribution of the absorber plate is optimized for a fixed absorber volume. The relation between the collector's performance and the entransy loss is theoretically established. Furthermore, the constrained extreme-value problem is proposed and the governing equation of the optimization is deduced employing a variational method. Finally, the optimal design of the thickness distribution of an absorber is numerically implemented on the basis of the governing equation to maximize the collector efficiency under certain constraint conditions.

1 Heat transfer model for flat-plate collectors

Figure 1 shows the typical structure of a flat-plate solar collector. The flat-plate collector is composed of an absorber plate, fluid tubes, insulation, casing and transparent covers. The present paper only considers flat-plate collectors without transparent covers for simplicity.

As the main component of flat-plate collectors, the absorber plate absorbs irradiation and transfers the gained heat to the fluid in the tubes through heat conduction and convection. Figure 2 is a schematic diagram of the geometric structure of the absorber plate and the corresponding coordinate system, where L presents the length of the fluid tube, $2W$ is the width between tubes, t_p is the thickness of the absorber, and m is the rate of mass flow through a single fluid tube. Owing to symmetry, the heat transfer process within the domain ($0 < y < L$, $0 < x < W$) is studied.

Since the thickness of the absorber plate is far less than its length and width, heat conduction within the absorber can be simplified as two-dimensional steady-state heat conduction with a constant internal heat source and an internal heat sink varying with the temperature field. The energy conservation equation is expressed as

$$k_p \left[\frac{\partial}{\partial x} \left(t_p(x, y) \frac{\partial T_p(x, y)}{\partial x} \right) + \frac{\partial}{\partial y} \left(t_p(x, y) \frac{\partial T_p(x, y)}{\partial y} \right) \right] + S - Q_{\text{Loss}}(x, y) = 0, \quad (1)$$

where $T_p(x, y)$ is the temperature of the absorber, $t_p(x, y)$ is the thickness of the absorber, k_p is the conductivity of the absorber, S is the irradiation actually absorbed in the unit

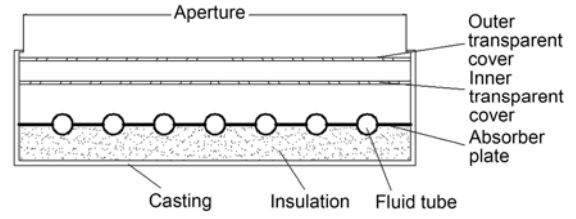


Figure 1 Schematic diagram of the flat-plate collector.

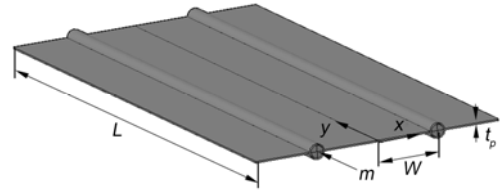


Figure 2 Schematic diagram of the absorber model and the coordinate system.

absorber area, and $Q_{\text{Loss}}(x, y)$ is the heat loss in the unit absorber area.

The expression for the actually absorbed irradiation in the unit absorber area is

$$S = \alpha I, \quad (2)$$

where α is the visible absorption rate of the absorber surface and I is solar radiation intensity.

The expression for the heat loss in the unit absorber area is [1]

$$Q_{\text{Loss}}(x, y) = U_L (T_p(x, y) - T_a), \quad (3)$$

where T_a is ambient temperature and U_L is the total heat loss coefficient.

The total heat loss coefficient U_L consists of the top heat loss coefficient U_t and the bottom heat loss coefficient U_b :

$$U_L = U_t + U_b. \quad (4)$$

The expression for the coefficient U_b of the bottom heat loss through insulation conduction to the environment is

$$U_b = \frac{k_{\text{ins}}}{X_{\text{ins}}}, \quad (5)$$

where X_{ins} is the thickness of insulation and k_{ins} is the conductivity of the insulation.

The expression for the top heat loss coefficient U_t is

$$U_t = h_r + h_{\text{conv}}, \quad (6)$$

where h_r is the coefficient of top heat loss through thermal radiation, and h_{conv} is the coefficient of top heat loss through convective heat transfer.

The expression for h_r can be obtained by analyzing the thermal radiation process for a tiny object and infinite space [1]:

$$h_r = \varepsilon_p \sigma (T_p^2 + T_a^2) (T_p + T_a), \quad (7)$$

where ε_p is the infrared emittance of the absorber surface and σ is the Stefan-Boltzmann constant.

The coefficient h_{conv} of top heat loss through convective heat transfer is related to the local wind speed V and can be calculated using an empirical equation [1,2]:

$$h_{\text{conv}} = 2.8 + 3V. \quad (8)$$

From eqs. (2)–(8), the source term of the energy conservation equation can be calculated. The source term is a field function that depends on the temperature field.

For the boundary conditions of the heat-conduction equation, $y = 0$ and $y = L$, heat is conducted through insulation to the environment, i.e.,

$$\frac{\partial T_p}{\partial y} = \frac{k_{\text{ins}}(T_p - T_a)}{X_{\text{ins}}k_p} \quad (y = 0). \quad (9)$$

and

$$\frac{\partial T_p}{\partial y} = -\frac{k_{\text{ins}}(T_p - T_a)}{X_{\text{ins}}k_p} \quad (y = L). \quad (10)$$

$x = 0$ is a symmetric boundary:

$$\frac{\partial T_p}{\partial x} = 0. \quad (11)$$

At $x = W$, there is a boundary condition of convective heat transfer:

$$\frac{\partial T_p}{\partial x} = -\frac{h_{fe}}{k_p}(T_p - T_f(y)), \quad (12)$$

where h_{fe} is the coefficient of the equivalent convective heat transfer between the absorber and the working fluid, and $T_f(y)$ is the fluid temperature.

The equivalent convective heat transfer coefficient h_{fe} can be written as [19]

$$h_{fe} = \frac{1}{t_p} \left[\frac{\pi d_i h_f}{2} + \frac{\pi d_o U_L (T_p - T_a)}{4(T_p - T_f)} - \frac{d_o S}{2(T_p - T_f)} \right], \quad (13)$$

where d_o and d_i are the outer diameter and inner diameter of the fluid tube, respectively, and h_f is the convective heat transfer coefficient for a circular tube with non-uniform surface temperature.

The convective heat transfer between the fluid tube and fluid is a combined process of natural and forced convections, and the surface temperature changes significantly both in the axial and circumferential directions. For this convective heat transfer, Oliver [20] provided an empirical equation to calculate the averaged Nusselt number Nu_m of the mixed convective heat transfer in horizontal circular tubes based on a massive number of experimental results:

$$Nu_m = 1.75 \left(\frac{\mu_b}{\mu_w} \right)^{0.14} \left[Gz_m + 0.0083 (Gr_m Pr_m)^{0.75} \right]^{\frac{1}{3}} \quad \left(\frac{L}{d_i} > 70 \right), \quad (14)$$

where Gz_m , Gr_m , and Pr_m are the averaged Graetz number, the averaged Grashof number and the averaged Prandtl number, respectively, and μ_b and μ_w are the dynamic viscos-

ities of the fluid at bulk and surface temperatures, respectively.

Using k_f as the conductivity of the fluid, the expression for h_f is

$$h_f = \frac{k_f Nu_m}{d_i}. \quad (15)$$

An expression for the fluid temperature distribution is obtained by establishing the energy conservation equation for the fluid element [19]:

$$T_f(y) = T_{\text{in}} + \frac{2}{mc_p} \int_0^y \left[-k_p t_p \frac{\partial T_p}{\partial x} + \frac{d_o S}{2} - \frac{\pi d_o U_L (T_p - T_a)}{4} \right] dy, \quad (16)$$

where T_{in} is the fluid inlet temperature, m is the fluid mass flow, and c_p is the specific heat at constant pressure for the fluid.

From eqs. (1)–(16), the heat conduction of the absorber can be numerically simulated.

2 Analysis and optimization of the absorber with entransy theory

2.1 Entransy analysis of the absorber

Multiplying the energy conservation equation, eq. (1), with the local temperature T_p , the entransy balance equation of the heat conduction process within the absorber is obtained as

$$ST_p - U_L (T_p - T_a) T_p - \nabla \cdot (t_p q T_p) + t_p q \cdot \nabla T_p = 0, \quad (17)$$

where q is the heat flux density. The expression for q is

$$q = -k_p \nabla T_p. \quad (18)$$

In eq. (17), the first term on the left is the product of the absorbed irradiation and the absorber temperature, representing the entransy input flow from solar radiation. The second term is the product of the heat loss and the absorber temperature, representing the entransy loss of the absorber due to heat loss. The third term represents the entransy transportation within the absorber. The fourth term is the entransy dissipation term, which represents the entransy loss due to heat conduction for finite temperature differences.

Integrating eq. (17) throughout the whole heat-conduction domain Ω and transforming the divergence term to boundary integration using the Gauss formulas gives

$$\begin{aligned} & \iint_{\Omega} (ST_p - U_L (T_p - T_a) T_p - k_p t_p \nabla T_p \cdot \nabla T_p) dA \\ &= \oint_{\Gamma} (t_p q_{\Gamma} T_p) \cdot n dS, \end{aligned} \quad (19)$$

where Γ represents the absorber boundary. According to eq. (19), the obtained entransy flow from solar radiation minus the entransy loss due to heat loss and the entransy dissipation during heat conduction (as shown on the left of

the equation) equals the entransy flow transferred out through the absorber boundaries (as shown on the right of the equation).

At the symmetric boundary $x = 0$, $q_r = 0$; at the boundaries $y = 0$ and $y = L$, heat is transferred to the environment through insulation conduction, which can also be regarded as an adiabatic boundary, i.e., $q_r = 0$. Therefore, all entransy flows within the absorber are transferred into the working fluid through the convective-heat-transfer boundary and transformed into the entransy of the fluid. By contrast, the geometric scale of the fluid tube is far less than that of the absorber and the temperature gradient in the fluid tube is not as significant; therefore, entransy dissipation due to convective heat transfer in the fluid tube can be ignored compared with the entransy dissipation due to heat conduction within the absorber plate. As a result, the boundary integration on the right of eq. (19) equals the entransy increment rate of the working fluid ΔG :

$$\Delta G = \frac{1}{2} m c_p (T_{\text{out}}^2 - T_{\text{in}}^2) = \oint_{\Gamma} (t_p q_r T_p) \cdot n dS, \quad (20)$$

where T_{in} and T_{out} are the inlet and outlet temperatures of the fluid, respectively, m is the fluid mass flow rate, and c_p is the specific heat at constant pressure of the fluid. Eq. (20) represents not only the quantity of the fluid's gained energy in unit time but also the quality of the gained energy.

Substituting eq. (20) into eq. (19), we obtain

$$\begin{aligned} & \iint_{\Omega} (S T_p - U_L (T_p - T_a) T_p - k_p t_p \nabla T_p \cdot \nabla T_p) dA \\ &= \frac{1}{2} m c_p (T_{\text{out}}^2 - T_{\text{in}}^2). \end{aligned} \quad (21)$$

It is clear that the maximization of the obtained entransy flow from solar radiation minus both the entransy loss due to heat loss to the environment and entransy dissipation due to the absorber's heat conduction leads to the maximization of the entransy increment rate of the working fluid; i.e., the optimum heat transfer performance of the collector.

2.2 Optimization criterion for the design of the absorber's thickness distribution

According to eq. (21), the optimization problem of the absorber's thickness distribution can be described as the following constrained extreme-value problem.

(1) Optimization objective: the maximization of the obtained entransy flow from solar radiation minus both the entransy loss due to heat loss to the environment and entransy dissipation due to the absorber's heat conduction. Employing the variation method, this can be stated as

$$\delta \iint_{\Omega} (S T_p - U_L (T_p - T_a) T_p - k_p t_p \nabla T_p \cdot \nabla T_p) dA = 0. \quad (22)$$

(2) Optimization object: the absorber's thickness distribution $t_p(x, y)$.

(3) Constraint conditions: a) the total volume of the absorber is fixed, i.e.,

$$\iint_{\Omega} t_p(x, y) dA = \text{const}, \quad (23)$$

b) the heat-conduction differential equation, eq. (1).

The corresponding functional can be constructed applying the Lagrange multiplier method to eliminate the constraint conditions:

$$\begin{aligned} \Pi = & \iint_{\Omega} (-k_p t_p \nabla T_p \cdot \nabla T_p + T_p (S + U_L T_a) - U_L T_p^2) dA \\ & + B \iint_{\Omega} t_p(x, y) dA + \iint_{\Omega} C (k_p \nabla \cdot (t_p \nabla T_p) \\ & + S - U_L (T_p - T_a)) dA, \end{aligned} \quad (24)$$

where B and C are Lagrange multipliers. Because eq. (23) is an isoperimetric boundary condition, B remains constant, while C is a field variable.

The variation of eq. (24) with respect to temperature T_p gives

$$\nabla \cdot (t_p \nabla C) - S - U_L T_a - C U_L = 0. \quad (25)$$

One solution of eq. (25) is

$$C = -\frac{S + U_L T_a}{U_L}. \quad (26)$$

Substituting the constant solution of C into the expression for the functional and the variation with respect to thickness t_p gives

$$|\nabla T_p|^2 = \frac{B}{k_p} = \text{const}. \quad (27)$$

Therefore, the optimum distribution of the absorber's thickness should guarantee a uniform temperature gradient field within the absorber. Because of the constant value of the conductivity, a uniform temperature gradient implies uniform heat flux density. In other words, the absorber's thickness should be proportional to the heat flux, which is the optimization criterion for the design of the thickness distribution.

2.3 Optimization algorithm

Numerically arranging more absorber material (e.g., copper) where the heat flux is greater can ensure a sufficiently uniform temperature gradient field and an optimal thickness distribution. The optimization algorithm is as follows [14].

(1) Initialize the absorber's thickness distribution; usually uniform thickness is used.

(2) Numerically solve the heat-conduction differential equation to obtain the absorber's temperature field and temperature gradient field.

(3) Solve the new thickness field according to

$$t_p^{(n+1)}(x, y) = \frac{\left| t_p^{(n)}(x, y) \nabla T_p(x, y) \right|}{\iint_{\Omega} \left| t_p^{(n)}(x, y) \nabla T_p(x, y) \right| dA} t_{pm} A_c, \quad (28)$$

where t_{pm} is the average thickness of the absorber, A_c is the absorber area, and the superscripts (n) and $(n+1)$ respectively represent the n th and $(n+1)$ th iteration results. It is obvious that when two adjacent iteration results are equal, the temperature gradient is constant.

(1) Return to step (2) and recalculate the temperature field and the temperature gradient field until

$$\frac{\left| t_p^{(n+1)}(x, y) - t_p^{(n)}(x, y) \right|}{t_p^{(n+1)}(x, y)} < \text{err}, \quad (29)$$

where err is the given convergence tolerance.

In practical simulation, to avoid the absorber being too thin, a minimum base thickness t_{pb} is given and the volume $(t_{pm} - t_{pb})A_c$ is used in the optimization of the thickness arrangement.

3 Numerical simulations and results analyses

Table 1 gives the geometric parameters and physical properties of the flat-plate collector, including the conductivity, absorptivity, and emissivity of the absorber material (copper) and the thickness and conductivity of the insulation. Table 2 gives the physical properties of the working fluid (water) such as the specific heat at constant pressure, conductivity, expansion coefficient, dynamic viscosity and Pr number, as well as operation parameters such as the mass flow rate and inlet temperature. Table 3 gives environmental parameters, namely the solar radiation intensity, ambient temperature

Table 1 Geometric parameters and physical properties of the flat-plate collector

Parameter	Value
Length of the fluid tube L	2 m
Width between tubes $2W$	0.4 m
Average thickness of the absorber t_{pm}	0.5 mm
Outer diameter of the fluid tube d_o	0.011 m
Inner diameter of the fluid tube d_i	0.01 m
Insulation thickness X_{ins}	0.05 m
Insulation conductivity k_{ins}	0.04 W/m ²
Absorber (copper) conductivity k_p	385 W/m ²
Absorber (copper) absorptivity α	0.9
Absorber (copper) emissivity ε_p	0.17

Table 2 Physical properties and operation parameters of the working fluid

Parameter	Value
Specific heat of the working fluid (water) c_p	4180 J/(kg K)
Prandtl number of the working fluid (water) Pr	4.34
Dynamic viscosity of the working fluid (water) ν	0.6×10^{-6} m ² /s
Expansion coefficient of the working fluid (water) β	1.8×10^{-4} K ⁻¹
Inlet temperature of the fluid T_{in}	305 K
Mass flow rate m	0.003 kg/s

Table 3 Environmental parameters

Parameter	Value
Solar radiation intensity I	800 W/m ²
Ambient temperature T_a	300 K
Wind speed V	3 m/s

and wind speed.

FLUENT12.1 software is used to carry out the numerical simulations to solve the energy conservation equation and optimize the thickness distribution. The complex internal heat source/sink and boundary conditions are introduced using the UDF (User Defined Functions) function, and the iteration convergence tolerance is taken to be 1×10^{-8} .

3.1 Validation of the simulation of the temperature field

To validate the simulation method for the temperature field, a simplified heat conduction process is studied where the absorber is of uniform thickness and there is a constant heat source S , and three boundary conditions are adiabatic while the fourth boundary condition is convective heat transfer. The analytic solution for temperature in this case is

$$T_p(x, y) = \frac{S}{2k_p t_p} (W^2 - x^2) + \frac{S}{h_f t_p} W + \frac{T_{out} + T_{in}}{2} + \sum_{k=1}^{\infty} A_{2k-1} \cosh\left(\frac{(2k-1)\pi}{L} x\right) \cos\left(\frac{(2k-1)\pi}{L} y\right), \quad (30)$$

$$A_{2k-1} = \frac{-4(T_{out} - T_{in})}{[(2k-1)\pi]^2 \left[\cosh\left(\frac{(2k-1)\pi}{L} W\right) + \frac{k_p(2k-1)\pi}{L h_f} \sinh\left(\frac{(2k-1)\pi}{L} W\right) \right]}.$$

Here, a linear fluid temperature distribution is assumed, and the convective-heat-transfer coefficient h_f is supposed to be constant. The parameters are an outlet temperature $T_{out} = 325$ K, inlet temperature $T_{in} = 305$ K, $S = 720$ W/m², $k_p = 385$ W/(m K), $t_p = 0.5$ mm, $W = 0.2$ m, $L = 2$ m, and $h_f = 60000$ W/(m² K).

Figures 3 and 4 respectively give the temperature field contours according to numerical results and analytical results. It is seen from the figures that the numerical and analytical results are in exact accordance, which validates the numerical methods of the present paper.

3.2 Optimization results and analyses

The optimal design of the absorber's thickness is implemented using the optimization algorithm stated above. The average thickness of the absorber is given as 0.5 mm and the minimum thickness is given as 0.01 mm to avoid the absorber being too thin.

Table 4 lists values of important parameters before and after optimization. These parameters are the outlet temperature T_{out} , difference between the absorber's average temperature and the ambient temperature $(T_{pm} - T_a)$, collector

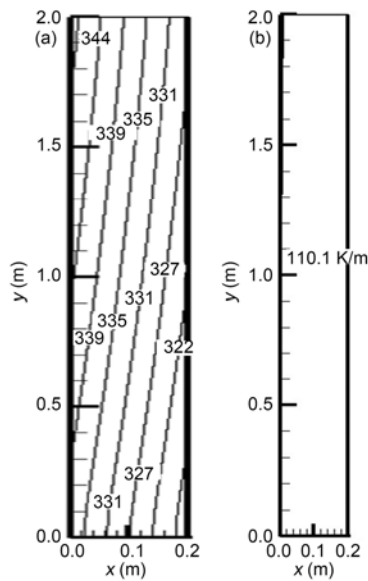


Figure 6 Simulation results after optimization. (a) Temperature field (Unit: K); (b) temperature gradient field (Unit: K/m).

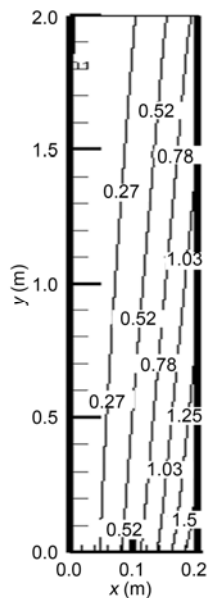


Figure 7 Thickness distribution of the absorber (Unit of thickness: mm).

thickness is almost the same as the given minimum thickness, 0.01 mm.

To study the performance of the above thickness distribution for different wind speeds, numerical simulations are also carried out for the absorber with uniform thickness of 0.5 mm and an absorber with a thickness distribution optimized for a wind speed of 3 m/s, where the wind speed is varied among 1, 2 and 4 m/s in the simulations while the other operation parameters are kept the same. Table 5 gives the simulation results, showing that the absorber with the thickness distribution optimized for a wind speed of 3 m/s also significantly performs better than the absorber with

Table 5 Collector efficiency for various wind speeds

Wind speed V (m/s)	1	2	3	4
Collector efficiency η (%) (uniform thickness)	42.01	35.12	30.16	25.32
Collector efficiency η (%) (optimized thickness under 3 m/s wind speed)	45.48 (\uparrow 8.3%)	38.24 (\uparrow 8.9%)	32.80 (\uparrow 8.8%)	28.66 (\uparrow 13.2%)

uniform thickness when the wind speed changes in the range of 1–4 m/s, and that the collector efficiency for the optimized thickness distribution is 8.3%–13.2% greater than that for the uniform-thickness absorber with changing wind speeds.

4 Conclusions

The present paper applied entransy theory in the analysis of the absorber's heat conduction and the optimal design of the absorber's thickness distribution. Combining the two-dimensional energy conservation equation for the absorber, the optimization objective for the collector performance was obtained theoretically, i.e., the maximization of the obtained entransy flow from solar radiation minus both the entransy loss due to heat loss to the environment and entransy dissipation due to the absorber's heat conduction. Furthermore, taking the fixed absorber material volume and the energy conservation equation as constraint conditions, the constrained extreme-value problem for optimal design of the thickness distribution was set up, and the optimization criterion of a uniform temperature gradient was deduced using variation methods.

According to the aforementioned optimization criterion, numerical simulations were carried out to optimize the thickness distribution of the absorber. The optimized results were remarkable. When the wind speed is 3 m/s, irradiation is 800 W/m^2 , the ambient temperature is 300 K, the mass flow rate is 0.003 kg/s and the inlet temperature is 305 K, and the collector efficiency can be enhanced by 8.8% through optimization, equivalent to a copper saving of 30%. The paper also applied the thickness distribution optimized for wind speed of 3 m/s in the heat transfer analysis for different wind speed conditions, and the collector efficiency was remarkably better than that for the absorber with uniform thickness. When the wind speed ranges from 1 to 4 m/s, the collector efficiency for the optimized thickness distribution is 8.3%–13.2% greater than that in the uniform-thickness case.

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